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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Timothy M. Swager et al.
Serial No.: Not yet assigned
Confirmation No.: Not yet assigned
Filed: Herewith
For: POLYMERS WITH HIGH INTERNAL FREE VOLUME
Examiner: Not yet assigned
Art Unit: Not yet assigned

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

**STATEMENT FILED PURSUANT TO THE DUTY OF
DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98**

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

PART I: Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed within three months of the filing date of a National Application other than a continued prosecution application under 37 C.F.R. §1.53(d) and before the mailing date of a first Office Action on the merits in the above-identified case.

No fee or certification is required.

PART II: Information Cited

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

The Applicant hereby makes the following additional information of record in the above-identified application.

764547

Serial No.: Not yet assigned
Conf. No.: Not yet assigned

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Art Unit: Not yet assigned

The following co-pending applications that may contain subject matter related to this application are enclosed unless the earlier application is identified herein and is relied upon for an earlier filing date under 35 U.S.C. §120, and the copy was provided in the earlier application:

| <u>Serial No.</u> | <u>Filing Date</u> | <u>Inventor(s)</u> | <u>Atty Docket No.</u> |
|-------------------|--------------------|--------------------|------------------------|
| 09/305,379 | May 5, 1999 | T.M. Swager et al. | M0925.70062US00 |
| 09/935,060 | August 21, 2001 | T.M. Swager et al. | M0925.70094US00 |

PART III: Explanation of Non-English Language References and Remarks Concerning Other Information Cited

The following is a concise explanation of the relevance of each non-English language reference listed on the attached form PTO-1449 (modified):

DE 198 06 037 generally relates to triptycene polymers and copolymers.

DE 197 44 792 generally relates to triptycene derivatives and their use for optoelectronic applications, in particular as electroluminescent materials.

An English-language translation of Japanese application no. 05-113286, filed May 14, 1993, is enclosed.

PART IV: Remarks

Documents cited anywhere in the Information Disclosure Statement are enclosed unless otherwise indicated. It is respectfully requested that:

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
3. The citations for the information be printed on any patent which issues from this application.

Serial No.: Not yet assigned
Conf. No.: Not yet assigned

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Art Unit: Not yet assigned

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,
Timothy M. Swager et al., Applicants

By: 

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Docket No. M0925.70094US01
Date: January 26, 2004
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|---|---|----|---|-------------------------------------|--|------------------------------------|--|
| FORM PTO-1449/A and B (Modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT | | | | APPLICATION NO.: Not yet assigned | | ATTY. DOCKET NO.: M0925.70094US01 | |
| | | | | FILING DATE: Herewith | | CONFIRMATION NO.: Not yet assigned | |
| | | | | APPLICANT: Timothy M. Swager et al. | | | |
| | | | | GROUP ART UNIT: Not yet assigned | | EXAMINER: Not yet assigned | |
| Sheet | 1 | of | 3 | | | | |

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#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

*a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. 09/935,060, filed August 21, 2001, and relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications).